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## Patient Education and Counseling

journal homepage: www.elsevier.com/locate/pateducou

Short communication

# Examiner characteristics and interrater reliability in a communication OSCE

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#### ARTICLE INFO

Article history: Received 20 September 2016 Received in revised form 18 January 2017 Accepted 21 January 2017

*Keywords:* Communication competence Assessment Examiner factors OSCE

#### ABSTRACT

*Objective:* To identify inter-individual examiner factors associated with interrater reliability in a summative communication OSCE in the 4th study year.

*Methods:* The OSCE consists of 4 stations assessed with a 4-item 5-point global rating instrument. A bivariate secondary analysis of interrater reliability in relation to 4 examiner factors (gender, profession, OSCE experience, examiner training) was conducted. Intraclass correlation coefficients (ICC) were calculated and compared between examiner dyads of different similarity.

*Results:* 169 pairwise ratings from 19 different examiners in 16 dyads were analysed. Interrater reliability is significantly higher in examiner dyads of same vs. different gender (ICC = 0.76 (95%CI = 0.65-0.83) vs. ICC = 0.41 (95%CI = 0.21-0.57)), in dyads of two clinicians vs. non-clinical/mixed professions (ICC = 0.72 (95%CI = 0.56-0.83) vs. ICC = 0.57 (95%CI = 0.41-0.69)), and in dyads with high vs. low/mixed OSCE experience (ICC = 0.73 (95%CI 0.50-0.87) vs. ICC = 0.56 (95%CI = 0.41-0.69)). Participation in recent examiner training had no influence on ICCs.

*Conclusion:* Better concordance of ratings between clinically active examiners might be a hint for context specificity of good communication. Higher interrater reliability between examiners with same gender may indicate gender-specific communication concepts.

*Practice implications:* Medical faculties introducing summative assessment of communication competence should focus the influence of examiner characteristics on interrater reliability.

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The specific examiner factors that may affect the reliability of an OSCE have not been well studied [9,10], except for a few studies on

Wilkinson [8] found that the involvement of examiners in

the variability of individual examiner function over time

influenced by fatigue [11,12] or leniency at the start of the OSCE

station construction made a positive contribution to interrater

reliability (IRR). Many authors have addressed the issue of examiner training, which has been indicated as essential,

especially for the use of global ratings [14–16]. However, differences between examiners cannot often be sufficiently eliminated by training programs; therefore, the selection of

The analysis of our CoMeD-OSCE, which is an assessment of

communication competence in challenging doctor-patient

encounters [19], showed relatively low IRR according to other

appropriate examiners should be emphasized [17.18].

#### 1. Introduction

The "objective structured clinical examination" (OSCE) is a well-established method of assessing a student's clinical skills, including communicative competence [1–4]. The reliability of an OSCE is influenced by various factors [5–7]. However, some authors suggest that even in a well-designed and valid OSCE, examiner factors remain the most important contributors to overall examination error [8,9].

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studies [20,21]. The aim of this exploratory secondary analysis is to identify interindividual examiner factors that may influence IRR in communication skill assessments.

#### 2. Methods

Bivariate secondary analyses of IRR in relation to examiner factors in a communication OSCE were performed.

The Düsseldorf CoMeD undergraduate communication skills training program [22] is followed by a 4-station OSCE in the fourth year when students encounter professional actors trained as typical standardized patients (SP) for the examination of the following types of communication: breaking bad news, sensitive issues (guilt and shame), handling emotions (aggression), and sharing decision-making.

We used the global rating (GR) instrument developed by Hodges, in a German version validated by Scheffer [23,24] containing the following 4 items: empathy, structuring the encounter, and verbal and non-verbal communication. Each item was rated on a 5-point scale with higher scores indicating better performance, resulting in total scores from 4 to 20. Each of the 4 constructs was defined by a short definition of low, medium and excellent behavioural performance. The descriptors have been published elsewhere [23,19].

We ran a 2-h examiner training program 1 week before. The examiners were shown several 8-min videotapes covering scenarios of the OSCE stations that displayed students' good and bad performances. These scenarios were rated by the participants using the GR and then discussed with examiners and trainers to reach a shared reference. A psychometric analysis of the CoMeD–OSCE has been published [19].

We used data from CoMeD-OSCE 2011/12. The examiners were psychotherapists, physicians, or scientists recruited from the Düsseldorf University and a pool of associated lecturers. They were dichotomized as practicing clinical medicine/psychotherapy vs. exclusively working as scientists. Former experience as examiners ( $\leq$ 3 OSCEs "little/no experience" vs.  $\geq$ 4 OSCEs "more experience") and participation in the examiner training immediately preceding the OSCE (yes/no) were noted.

The 2 simultaneously rating examiners were placed in opposite corners of the examination room to reduce mutual interference. They were advised not to communicate among themselves or with the SP about the examinees' performances.

#### 2.1. Statistical analysis

Wilcoxon tests for dependent samples were performed to compare median GR scores between dissimilar examiners. IRR is presented as intraclass correlation coefficients (ICC; two-way random, single measure, consistency-adjusted). ICCs range from 0.0 to 1.0 (0-0.29, poor; 0.30-0.49, fair; 0.50-0.69, moderate;  $\geq$ 0.70,

#### Table 1

Examiner characteristics.

strong agreement [25]). Differences between ICCs were established with the cocor procedure [26]. For this explorative analysis in a small sample, we accepted a more lenient one-sided  $\alpha$ -level of 0.10 to identify promising aspects for further research [27]. The reference category of the highest postulated dyad similarity was compared with the other categories of lower similarity: (1) examiners of the same gender vs. different gender; (2) both examiners working as clinicians vs. none or only one; (3) both examiners with more OSCE experience vs. none or only one; and (4) both examiners attended recent examiner training vs. none or only one.

Analyses were performed with SPSS 21 (IBM Corp., Armonk, NY).

#### 3. Results

A sample of 169 pairwise ratings (=338 OSCE scores) from 19 examiners in 16 dyads were analysed (Table 1). Within the OSCE sessions rated by 2 dissimilar examiners, those with greater OSCE experience generally gave more lenient scores. Other examiner characteristics had no effect on global rating scores.

IRR was significantly higher in examiner dyads of the same gender, same professional background, and greater OSCE experience (Table 2). Participation in a recent training session had no influence (rather, it yielded diametrical results). Most ICCs were fair to moderate; strong agreement was only found in dyads with concordance in gender, profession, and OSCE experience (and – counterintuitively – without recent training).

We calculated a simple similarity score for examiner dyads, summing up 1 point per similarity in the 3 significant dyad characteristics from Table 2. Dyads with low similarity had a significantly lower ICC than dyads with medium (z = 2.449, p = 0.007) or high similarity (z = 2.964, p = 0.002) (Fig. 1).

#### 4. Discussion and conclusion

#### 4.1. Discussion

Higher IRR is associated with current clinical practice, OSCE experience, and concordant gender of examiners, but is not associated with participation in recent examiner training. Other studies also found that examiner training often yielded no or marginal improvement in reliability of an OSCE [17,18]. Several approaches for examiner training have been reported, but little is known about their effect on examiner performance [28]. However, Wilkinson [8] reported that examiner experience is not associated with IRR when checklist scores are used. Our results suggest that examiner experience is a relevant factor of IRR in communication skills assessed with a global rating instrument.

Wilkinson [8] also found that years of clinical experience had no significant effect on IRR when checklist scores were used. In contrast, Kahn [5] state that the reliability of scores generated by

		Number of raters	Number of ratings	Mean OSCE total score (SD)	p <sup>a</sup>
Total		19	338 (169 OSCE sessions by 2 raters each)	15.5 (2.8)	-
Gender	Male	7	98	15.6 (2.8)	0.665
	Female	12	240	15.4 (2.8)	
Practicing clinical medicine/psychotherapy	Yes	13	190	15.7 (2.8)	0.595
	No (scientists)	6	148	15.3 (2.8)	
OSCE experience	Little/no (≤3 OSCEs)	10	189	14.9 (2.9)	0.027
	More ( $\geq$ 4 OSCEs)	9	149	16.2 (2.6)	
Recent examiner training attendance	Yes	13	220	15.4 (2.8)	0.195
	No	6	118	15.7 (2.8)	

<sup>a</sup> Significance level of the Wilcoxon tests for dependent samples: comparisons of OSCE total scores in the sessions simultaneously rated by 2 dissimilar examiners: female vs. male, practicing vs. non-practicing, little vs. greater OSCE experience, recent examiner training attended vs. non-attendance (Table 2 gives *n*).

#### Table 2

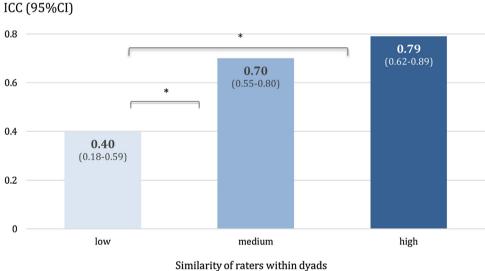
Interrater reliability of examiner dyads.

		Number of examiner dyads	Number of OSCE sessions rated	Interrater reliability (ICC, 95% CI) <sup>a</sup>	Fisher's z <sup>b</sup>	p <sup>b</sup>
Total		16	169	0.59 (0.48-0.68)	-	
Gender	Same gender	9	87	0.76 (0.65-0.83)	reference	
	Different gender	7	82	0.41 (0.21-0.57)	3.550	< 0.001
Practicing in clinical medicine/	Both	6	51	0.72 (0.56-0.83)	reference	
psychotherapy	One of the raters	8	88	0.57 (0.41-0.69)	1.457	0.073*
	No rater	2	30	0.53 (0.22-0.75)	1.326	0.093
OSCE experience	Both with high	4	28	0.73 (0.50-0.87)	reference	
	Only one with high	9	93	0.56 (0.41-0.69)	1.299	0.097*
	Both with little/ no	3	48	0.51 (0.26-0.69)	1.503	0.066*
Recent rater training attendance	Both	6	77	0.49 (0.30-0.64)	reference	
	One of the raters	6	66	0.62 (0.45-0.75)	1.119	0.869
	No rater	4	26	0.72 (0.47-0.87)	1.588	0.944

<sup>a</sup> ICC Intraclass correlation with 95% CI (confidence interval).

<sup>b</sup> Test statistic and significance level of Fisher's test for the comparison with the reference ICC.

\* Significant at  $\alpha$ -level 0.10.



(score based on gender, OSCE experience, profession)

Fig. 1. Interrater reliability (IRR) and examiner similarity.

the examiners depends upon their clinical experience relevant to the clinical case-based OSCE station. Humphrey-Murto [29] found high IRR between physician examiners and trained non-medical assessors (most of them with medical backgrounds) in the use of checklist scores, but not in the use of global ratings. Our finding of a higher IRR of global ratings in clinically active examiners indicate that even an assessment that is not focused on the medical aspects of a clinical case, but solely on the communication process, might be influenced by context specificity [30,31], which may not be sufficiently comprehended by non-medical examiners.

Our results suggest that there might be another gender factor in addition to the examinees' performance [32–34] or the gender of the SPs [34,35]. Although there was no general effect of examiner gender on OSCE scores, the agreement within the same gender proved higher, which is the most robust result of our analysis. The different communication concepts and reference standards held by men and women may be an explanation for this gender effect [36].

In this exploratory study, only bivariate analyses were possible due to the relatively small number of examiner pairs and pairwise ratings. We cannot exclude confounders of the factors under examination. A liberal alpha level of 0.10 was applied due to missing *a priori* sample size calculation. This enabled us to explore effects in this small sample, but increased the risk of overinterpreting random effects. We did not systematically control the behavior of the examiner dyads and their communication during the OSCE sessions. In addition, we did not test whether an extension of our 2-h examiner training would have potentially reduced the effect of examiner characteristics on IRR. Furthermore, the descriptors of the GR introduced by Hodges [23] comprise only context-independent definitions. We did not define precise task-specific behaviors for single OSCE stations (e.g. in a codebook for raters). We used one of several possible ICC interpretations. Individual ICCs will have been interpreted as slightly poorer according to more stringent ICC classifications.

Future research should systematically investigate the examiner characteristics associated with interrater reliability in larger samples and experimental designs.

At the next stage of our study we intend to develop taskspecified descriptors in addition to the general descriptors of the GR on basis of cognitive interviews [37] with different examiners. For the following experimental study, we hypothesize that a modified examiner training using additional specified instructions results in higher IRR compared to the existent examiner training using the GR with general descriptors. In an one-way experimental design, two examiner samples will be compared on ratings of the same videotapes (ICC as dependent variable).

#### 5. Conclusion

Our finding of higher rating concordance between examiners of the same gender suggests the hypothesis that unrevealed genderspecific concepts are important in assessing communicative competence. Better concordance of ratings in clinically active examiners hints at context specificity, which is pre-existent even in medical encounters with a focus on communication aspects.

#### **Practice implications**

Medical faculties introducing summative assessment of communication competence should focus the influence of examiner characteristics on IRR.

#### Acknowledgements

We are grateful to the medical students and the examiners for facilitating and supporting our project. Our special thanks go to our CoMeD team and the staff of the Düsseldorf University Hospital Training Centre (TräF) for project organisation.

#### Appendix A. Global Rating (GR) form used in the CoMeD – OSCE

Original version in English developed and published by Brian Hodges & Jodi Herold McIlroy (Hodges 2003). Translation und validation in German by Scheffer (Scheffer, 2008)

Response to patient's feelings and needs (empathy)					
1 Does not respond to obvious patient cues (verbal and non-verbal) and / or responds inappropriately	2	3 Responds to patient's needs and cues, but not always effectively	4	5 Responds consistently in a perceptive and genuine manner to the patient's needs and cues	
De 1 No recognisable plan to the interaction; the plan does not demonstrate cohesion or the patient must determine the direction of the interview	egre 2	ee of coherence in the interview 3 Organisational approach is formulaic and minimally flexible and / or control of the interview is inconsistent	4	5 Superior organisation, demonstrating command of cohesive devises, flexibility, and consistent control of the interview	
1 Communicates in manner that interferes with and / or prevents understanding by patient, or communicates inappropriately with the patient	2	Verbal expression 3 Exhibits sufficient control of expression to be understood by an active, engaged listener (patient)	4	5 Exhibits command of expression (fluency, diction, grammar, vocabulary, tone, volume and modulation of voice, rate of speech,	

(Co	ntinu	ed)

Response to patient's feelings and needs (empathy)	Response to	patient's	feelings a	and needs	(empathy)	
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		pace and pronunciation
1 2 Fails to engage, frustrates and / or antagonises the patient	Non-verbal expression 3 4 Exhibits enough control of non-verbal expression to engage a patient willing to overlook deficiencies such as passivity,self-consciousness or inappropriate aggressiveness	5 Exhibits finesse and command of non-verbal expression (eye-contact, gesture, posture, use of silence, etc.)
Overall assessment of th A = Incompetent Responds inappropriately and ineffectively to the task, indicating a lack of knowledge and / or undeveloped interpersonal and interviewing skills	e knowledge and skills demonstrated B = Borderline Responds effectively to some components of the task, some development of interpersonal and interviewing skills	in the interview C=Competent Responds precisely and perceptively to the task, consistently integrating all components

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